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# NOTICE OF ALLOWANCE AND FEE(S) DUE

29989

7590

11/17/2008

HICKMAN PALERMO TRUONG & BECKER, LLP 2055 GATEWAY PLACE SUITE 550 SAN JOSE, CA 95110 EXAMINER

WHIPPLE, BRIAN P

ART UNIT PAPER NUMBER

2452

DATE MAILED: 11/17/2008

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/611.460      | 06/30/2003  | Tzong-Fen Fuh        | 50325-0799          | 1623             |

TITLE OF INVENTION: LOCAL AUTHENTICATION OF A CLIENT AT A NETWORK DEVICE

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$0                 | \$0                  | \$1510           | 02/17/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ar in m

| appropriate. All further indicated unless correct maintenance fee notifica   | correspondence includir<br>ed below or directed oth  | ng the Patent, advance on<br>herwise in Block 1, by (a  | rders and notification of ra) specifying a new corres  | naintenance fees v<br>pondence address                                       | vill be<br>and/or  | mailed to the current (b) indicating a sepa   | correspondence address as<br>rate "FEE ADDRESS" for   |
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| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)   |  |   | Feet   | s) Transmittal, Th   | is certif  | icate cannot be used for  | r domestic mailings of the<br>or any other accompanying<br>nt or formal drawing, must                                 |
| 29989  | 7590 11/17   | 7/2008  | Have   |  |  | _   |   |
| 2055 GATEWA<br>SUITE 550   |  | Stat  | reby certify that thes Postal Service v  | is Fee(  | e of Mailing or Transı<br>s) Transmittal is being<br>ficient postage for firs<br>ISSUE FEE address<br>1) 273-2885, on the da | inission (in deposited with the United t class mail in an envelope above, or being facsimile ate indicated below. |   |
| SAN JOSE, CA 95110   |  |   |  |  |  |   | (Depositor's name)  |
|  |  |   |  |  |  |   | (Signature)   |
|  |  |   |  |  |  |   | (Date)  |
| APPLICATION NO.  | FILING DATE  |   | FIRST NAMED INVENTOR   |  | ATTO   | RNEY DOCKET NO.   | CONFIRMATION NO.  |
| 10/611,460   | 06/30/2003   | •   | Tzong-Fen Fuh  |  | •  | 50325-0799  | 1623  |
| TITLE OF INVENTION   | : LOCAL AUTHENTIC  | CATION OF A CLIENT A  | AT A NETWORK DEVICE  | Ξ  |  |   |   |
|  |  |   |  |  |  |   |   |
|  |  |   |  |  |  |   |   |
| APPLN. TYPE  | SMALL ENTITY   | ISSUE FEE DUE   | PUBLICATION FEE DUE  | PREV. PAID ISSU  | E FEE  | TOTAL FEE(S) DUE  | DATE DUE  |
| nonprovisional   | NO   | \$1510  | \$0  | \$0  |  | \$1510  | 02/17/2009  |
| EXAM   | IINER  | ART UNIT  | CLASS-SUBCLASS   |  |  |   |   |
| WHIPPLE  | , BRIAN P  | 2452  | 709-225000   |  |  |   |   |
| 1. Change of correspond CFR 1.363).  | ence address or indicatio  | n of "Fee Address" (37  | 2. For printing on the p   |  |  |   |   |
| Change of corresp  | ondence address (or Cha  | inge of Correspondence  | (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  |  |  |   |   |
| ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. |  |   | (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. |  |  |   |   |
|  |  |   |  |  |  |   |   |
| PLEASE NOTE: Uni   | less an assignee is ident  | ified below, no assignee  | data will appear on the p<br>T a substitute for filing an  | atent. If an assign  | ee is io   | lentified below, the do   | ocument has been filed for  |
| (A) NAME OF ASSI   | •  | piction of this form is ivo   | (B) RESIDENCE: (CITY   | 0  | COUNT  | RY)   |   |
|  |  |   |  |  |  | ,   |   |
|  |  |   |  |  |  |   | _   |
| Please check the appropr   | riate assignee category or   | categories (will not be pr  | rinted on the patent):   | Individual 🖵 Co  | orporati   | on or other private gro   | up entity 🔲 Government  |
| 4a. The following fee(s) are submitted:  ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted)  |  |   | o. Payment of Fee(s): (Plea  | se first reapply a   | ny prev  | viously paid issue fee s  | shown above)  |
|  |  |   | ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.  |  |  |   |   |
| Advance Order - # of Copies  |  | The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any    |  |  |  |   |   |
|  |  |   | overpayment, to Depo   | sit Account Numb   | er   | (enclose a  | n extra copy of this form).   |
| 5. Change in Entity Sta  a. Applicant claim  | <b>tus</b> (from status indicate<br>is SMALL ENTITY stati  | · · · · · · · · · · · · · · · · · · ·   | ☐ b. Applicant is no lon   | ger claiming SMA   | LL EN  | ΓΙΤΥ status. See 37 CF  | FR 1.27(g)(2).  |
| NOTE: The Issue Fee an   | d Publication Fee (if req  | uired) will not be accepte  | d from anyone other than t   |  |  |   | e assignee or other party in  |
| interest as shown by the   | records of the United Sta  | ites Patent and Trademark   | Office.  |  |  |   |   |
| Authorized Signature   |  |   |  | Date   |  |   |   |
| Typed or printed name  |  |   |  | Registration N   | lo   |   |   |
| This collection of inform  | nation is required by 37 C   | CFR 1.311. The information  | on is required to obtain or r  | etain a benefit by t   | he pub   | lic which is to file (and   | by the USPTO to process)  |
| an application. Confiden<br>submitting the complete<br>this form and/or suggest:<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223  | tiality is governed by 35 d application form to the ions for reducing this bu. Virginia 22313-1450. DC | U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to th<br>ONOT SEND FEES OR | 1.14. This collection is est<br>depending upon the indivention office<br>COMPLETED FORMS TO  | imated to take 12 idual case. Any co<br>r, U.S. Patent and<br>D THIS ADDRESS | minutes<br>omment<br>Traden<br>S. SEN  | s to complete, including<br>s on the amount of tir<br>nark Office, U.S. Depa<br>D TO: Commissioner f              | g gathering, preparing, and<br>ne you require to complete<br>urtment of Commerce, P.O.<br>For Patents, P.O. Box 1450, |

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

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| APPLICATION NO.              | FILING DATE              | FILING DATE FIRST NAMED INVENTOR |                                | CONFIRMATION NO. |  |
|------------------------------|--------------------------|----------------------------------|--------------------------------|------------------|--|
| 10/611,460                   | 06/30/2003 Tzong-Fen Fuh |                                  | 50325-0799 1623                |                  |  |
| 29989 75                     | 590 11/17/2008           |                                  | EXAM                           | INER             |  |
| HICKMAN PAL                  | ERMO TRUONG &            | WHIPPLE, BRIAN P                 |                                |                  |  |
| 2055 GATEWAY                 | PLACE                    | ,                                | ART UNIT                       | PAPER NUMBER     |  |
| SUITE 550<br>SAN JOSE, CA 95 | 5110                     |                                  | 2452<br>DATE MAILED: 11/17/200 | 8                |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 949 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 949 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

|  | Application No.  | Applicant(s)  |  |  |  |  |  |
|--|--|---|--|--|--|--|--|
|  | 10/611,460   | FUH ET AL.  |  |  |  |  |  |
| Notice of Allowability   | Examiner   | Art Unit  |  |  |  |  |  |
|  | BRIAN P. WHIPPLE   | 2452  |  |  |  |  |  |
| The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313 | ears on the cover sheet with the c<br>(OR REMAINS) CLOSED in this ap<br>or other appropriate communication<br>IGHTS. This application is subject t | orrespondence address<br>plication. If not included<br>n will be mailed in due course. THIS |  |  |  |  |  |
| 1. This communication is responsive to the amendment filed   | <u>9/5/08</u> .  |   |  |  |  |  |  |
| 2. The allowed claim(s) is/are <u>1-30</u> .   |  |   |  |  |  |  |  |
| <ul> <li>3. ☐ Acknowledgment is made of a claim for foreign priority under a) ☐ All b) ☐ Some* c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have</li> </ul>  |  |   |  |  |  |  |  |
| 2. Certified copies of the priority documents have   | e been received in Application No  | ·   |  |  |  |  |  |
| 3. Copies of the certified copies of the priority do   | cuments have been received in this   | national stage application from the   |  |  |  |  |  |
| International Bureau (PCT Rule 17.2(a)).   |  |   |  |  |  |  |  |
| * Certified copies not received:   |  |   |  |  |  |  |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  |  | complying with the requirements   |  |  |  |  |  |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subminformal PATENT APPLICATION (PTO-152) which give   |  |   |  |  |  |  |  |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") mus   | st be submitted.   |   |  |  |  |  |  |
| (a) ☐ including changes required by the Notice of Draftspers   |  | -948) attached  |  |  |  |  |  |
| 1) hereto or 2) to Paper No./Mail Date   | •  | ,   |  |  |  |  |  |
| , — , —  | (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of   |   |  |  |  |  |  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t   |  |   |  |  |  |  |  |
| 6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT  |  |   |  |  |  |  |  |
|  |  |   |  |  |  |  |  |
| Attachment(s) 1. ☑ Notice of References Cited (PTO-892)  | 5. ☐ Notice of Informal F  | Patent Application  |  |  |  |  |  |
| 2.  Notice of Draftperson's Patent Drawing Review (PTO-948)  | 6. ☐ Interview Summary   |   |  |  |  |  |  |
| 3. Information Disclosure Statements (PTO/SB/08),  | Paper No./Mail Da<br>7.  | ment/Comment  |  |  |  |  |  |
| Paper No./Mail Date  4.  Examiner's Comment Regarding Requirement for Deposit of Biological Material   | _  | ent of Reasons for Allowance  |  |  |  |  |  |
|  | 9.   |   |  |  |  |  |  |
| /Kenny S Lin/<br>Primary Examiner, Art Unit 2452   |  |   |  |  |  |  |  |
|  |  |   |  |  |  |  |  |

Application/Control Number: 10/611,460 Page 2

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### REASONS FOR ALLOWANCE

- 1. Claims 1-30 are allowed.
- 2. The following is an examiner's statement of reasons for allowance.
- 3. As to claim 1, the prior art, alone or in combination, fails to teach or reasonably suggest all of the claimed limitations. In particular, in combination with the other claimed limitations, the prior art does not reasonably suggest the claimed means for reconfiguring the network firewall routing device and its sub-limitations. The claimed means for reconfiguring the network firewall routing device include numerous aspects of the invention, such as the opening of a logical passageway that does not automatically close when a user terminates a session and the use of temporary entries (e.g. reflexive access lists). At best the prior art discloses limited teachings of reflexive access lists (which create temporary entries for internal and/or external interfaces). However, as discussed in the telephone interview conducted on 9/11/08 and as discussed by Applicant in the remarks filed on 9/5/08, Cisco I may not be relied upon due to its publishing date and Cisco II may not be used to "qualify" the prior art of Cisco I and Welcher (as Welcher has been overcome by the filing of a declaration under 37 C.F.R. §1.131). Furthermore, Welcher is directed to an overview of Cisco's own IOS 12.0 reflexive access list feature.

Application/Control Number: 10/611,460

Art Unit: 2452

4. As to claims 15 and 22, the claims are allowed for reasons similar to claim 1 above.

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5. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to BRIAN P. WHIPPLE whose telephone number is (571)270-1244. The examiner can normally be reached on Mon-Fri (9:30 AM to 6:00 PM EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Follansbee can be reached on (571) 272-3964. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or

Brian P. Whipple /B. P. W./ Examiner, Art Unit 2452 11/13/08

571-272-1000.

/Kenny S Lin/ Primary Examiner, Art Unit 2452